

RHB ASSET MANAGEMENT SDN BHD 174588-X
 Level 8, Tower 2 & 3, RHB Centre, Jalan Tun Razak, Kuala Lumpur
 Tel: 603-9205 8000 Fax: 603-9205 8100
 Toll Free No: 1-800-88-3175 Website: www.rhbgroup.com

☐ Individual ☐ Joint ☒ Corporate ☐ Staff

For Office Use Only

Branch		Date Updated		-		-		
Group CIF No.		Date Authorised		-		-		
Client's LCIF No.		Updated By	Authorised By					
Joint Holder's LCIF No.								

UPDATING OF CLIENT'S PARTICULAR FORM

INSTRUCTIONS FOR COMPLETION OF THE UPDATING OF CLIENT'S PARTICULAR FORM

- Please complete in **BLOCK LETTERS** only, and **tick (✓)** where applicable.
- Photocopy of NRIC / Passport (original sighted by RHBAM officer) is required when updating NRIC No./Passport respectively, with RHB Asset Management Sdn Bhd ("RHBAM").

>> CLIENT'S PARTICULAR (COMPULSORY)

Existing Name (As per NRIC / Passport/Company Registration)	P E N A N G G L O B A L T O U R I S M S D N B H D
NRIC No. (New) / Passport No. / Company Registration No.	5 0 6 8 9 - X
	NRIC No. (Old)

>> INVESTMENT ACCOUNT NUMBER (Please state account(s) that require changes)

Account Number	1. 000000973178	2.	3.
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>> INDIVIDUAL / CORPORATE DATA (Please fill in where necessary)

New Name (As per NRIC / Passport/Company Registration)	P E N A N G G L O B A L T O U R I S M S D N B H D
New NRIC / Passport No. / Registration No.	5 0 6 8 9 - X
Nationality / Country of Incorporation	☐ Malaysia(n) ☐ Others (please specify country)
Permanent Resident Status	☐ Malaysian ☐ Others (please specify country) Permanent Resident No.
Permanent / Registered Address (as in NRIC / Passport/Company Registration)	N O . 8 B (F I R S T F L O O R) T H E W H I T E A W A Y S A R C A D E L E B U H P A N T A I Postcode 1 1 0 6 0 Town/City G E O R G E T O W N State P E N A N G Country M A L A Y S I A
Correspondence Address/Mailing Address (complete if different from permanent address)	Postcode Town/City State Country
Contact Details (for Individual)	Home No. - Mobile -
Email Address (for Individual)	m i c h e l l e @ p e n a n g g l o b a l t o u r i s m . c o m

>> BANK ACCOUNT DETAILS FOR INCOME DISTRIBUTION / REDEMPTION - MYR CLASS FUND ONLY

Bank Name	C I M B B A N K B E R H A D
Account Holder Name	P E N A N G G L O B A L T O U R I S M S D N B H D
Bank Account No.	8 0 0 3 8 7 9 9 5 4
Account Type	☐ Savings Account ☒ Current Account Account Ownership ☐ Single ☐ Joint
Note:	- Only one MYR currency bank account will be maintained in RHBAM's record at any one time. - Payment to third party is strictly not allowed. - For joint investment, bank account provided must consist of both applicants name and/or either one of the applicant's name as stated in the Account Application Form.

BANK ACCOUNT DETAILS FOR INCOME DISTRIBUTION / REDEMPTION - FOREIGN CLASS FUND ONLY

Bank Name	
Account Holder Name	
Bank Account No.	
Account Type	☐ Savings Account ☐ Current Account Account Ownership ☐ Single ☐ Joint
Currency	(Please indicate, for e.g. AUD / USD / SGD / EUR / Multi Currencies and etc.)
Note:	- Only one FOREIGN currency bank account will be maintained for each foreign currency in RHBAM's record at any one time. - Payment to third party is strictly not allowed. - For joint investment, bank account provided must consist of both applicants name and/or either one of the applicant's name as stated in the Account Application Form.

OTHER DETAILS (applicable to Individual only)

Mother's Maiden Name																									
Marital Status	☐ Single	☐ Married	☐ Widowed	☐ Divorced	No. of Dependents (please indicate if any)																				
Race	☐ Malay	☐ Chinese	☐ Indian	☐ Others	Gender	☐ Male	☐ Female																		
Education Level	☐ Primary	☐ Secondary	☐ STPM/Diploma/PreU	☐ Bachelor Degree	☐ Post Graduate	☐ Others																			
Source of Income	☐ Employed	☐ Own Business	☐ Savings / Inheritance	☐ Others (please specify)																					
Employer's / Company's Name																									
Employer's / Company's Tel No.													Ext					Fax No							
Occupation / Designation																									
Employer's / Company's Office	☐ In Malaysia	☐ Outside Malaysia (please specify)																							
Nature of Business of Individual / Employer	☐ Financial/Banking/Investment	☐ Legal/Tax	☐ Telecommunications	☐ Hotel/Restaurant	☐ Consultancy	☐ Government/Government related	☐ Medical/Health/Science	☐ Education	☐ Real Estate/Property	☐ Manufacturing	☐ Construction	☐ Other (please specify)													
Annual Income	☐ Below RM20,000	☐ RM20,001 - RM60,000	☐ RM60,001 - RM120,000	☐ RM120,001 - RM 180,000	☐ RM180,001 - RM240,000	☐ RM240,001 and above																			
Estimated Net Worth	☐ Below RM50,000	☐ RM50,001 - RM100,000	☐ RM100,001 - RM200,000	☐ RM200,001 - RM500,000	☐ RM500,001 - RM1,000,000	☐ RM1,000,001 - RM3,000,000	☐ Above RM3,000,000																		

PARTICULAR OF JOINT INDIVIDUAL APPLICANT

Name of Joint Applicant (As per NRIC / Passport)																										
NRIC No. (New) / Passport No.													NRIC No. (Old)													
Nationality	☐ Malaysian	☐ Others (please specify country)																								
Permanent Resident Status	☐ Malaysian	☐ Others (please specify country)	Permanent Resident No.																							
Relationship to Individual Applicant	☐ Parent	☐ Spouse	☐ Child	☐ Sibling	☐ Others																					
Permanent / Registered Address (as in NRIC / Passport/Company Registration)																										
Postcode					Town/City																					
State													Country													
Correspondence Address/Mailing Address (complete if different from permanent address)																										
Postcode					Town/City																					
State													Country													
Contact Details	Home No.													Mobile												
Employer's / Company's Name																										
Employer's / Company's Tel No.													Ext					Fax No								
Occupation / Designation																										
Employer's / Company's Office	☐ In Malaysia	☐ Outside Malaysia (please specify)																								
Nature of Business of Individual / Employer	☐ Financial/Banking/Investment	☐ Legal/Tax	☐ Telecommunications	☐ Hotel/Restaurant	☐ Consultancy	☐ Government/Government related	☐ Medical/Health/Science	☐ Education	☐ Real Estate/Property	☐ Manufacturing	☐ Construction	☐ Other (please specify)														
Annual Income	☐ Below RM20,000	☐ RM20,001 - RM60,000	☐ RM60,001 - RM120,000	☐ RM120,001 - RM 180,000	☐ RM180,001 - RM240,000	☐ RM240,001 and above																				
Estimated Net Worth	☐ Below RM50,000	☐ RM50,001 - RM100,000	☐ RM100,001 - RM200,000	☐ RM200,001 - RM500,000	☐ RM500,001 - RM1,000,000	☐ RM1,000,001 - RM3,000,000	☐ Above RM3,000,000																			

>> OTHER DETAILS (applicable to Corporate only)

Does your company own total net assets of more than RM10 million or its equivalent in foreign currencies?	☐ Yes	☒ No																							
Nature of Business of Individual / Employer	☐ Financial/Banking/Investment	☐ Legal/Tax	☐ Telecommunications	☐ Hotel/Restaurant	☐ Consultancy	☒ Government/Government related	☐ Medical/Health/Science	☐ Education	☐ Real Estate/Property	☐ Manufacturing	☐ Construction	☐ Other (please specify)													
Company Source of Income	☐ Disposal of non-core business/asset/investments	☐ Fund raising exercise such as right issue	☒ Cash in hand/surplus funds/working capital																						
Name of Contact Person 1	O O I C H O K Y A N																								
Designation	C E O												Department												
Office No.	0 4 - 2 6 4 3 4 5 6												ext					Fax No.	0 4 - 2 6 4 3 4 5 5						
Email Address	c h o k y a n @ p e n a n g g l o b a l t o u r i s m . c o m																								
Name of Contact Person 2	S E O W A I S E E																								
Designation	A C C . E X E												Department	F I N A N C E											
Office No.	0 4 - 2 6 4 3 4 5 6												ext					Fax No.	0 4 - 2 6 4 3 4 5 5						
Email Address	m i c h e l l e @ p e n a n g g l o b a l t o u r i s m . c o m																								

RHB PRIVACY NOTICE

I/We understand that RHB Asset Management Sdn Bhd ("RHBAM") will use, collect, record, store, share and/or process my/our personal information, including, without limitation, my/our contact details, background information, financial data, tax residency and other information relevant to my/our application for the product and / or service which

- (a) I/we have provided in this form or through any other contact with RHB Banking Group (which shall include its holding company, subsidiary(s), and any associated company(s), including any company as a result of any restructuring, merger, sale or acquisition), or
- (b) has been obtained from analysis of my/our payment and other transactions/services within the RHB Banking Group, or
- (c) has been obtained from third parties such as employers, joint applicants/acountholders, guarantors, legal representatives, industry/financial related associations, government/regulatory authorities, credit bureaus or credit reporting agencies, retailers, social networks and fraud prevention agencies or other organizations

for any and/or all of the following purposes ("Purpose"), if applicable:

- (i) providing this product and/or service and notifying me/us about important changes or developments to the features;
- (ii) updating and managing the accuracy of RHB Banking Group's records;
- (iii) prevention, detection or prosecution of crime, and complying with legal and regulatory obligations;
- (iv) assessment and analysis including credit / lending / insurance risks / behaviour scoring / market and product analysis and market research;
- (v) communications and ensuring customer satisfaction, which may include conducting surveys to improve the quality of our products and services, responding to inquiries and complaints and to generally resolve disputes;
- (vi) determining the amount of my/our indebtedness and recovering debt that I/we owe to RHBAM;
- (vii) maintaining my/our credit history for present and future reference;
- (viii) enabling an actual or proposed assignee of RHBAM, or participant or sub-participant of RHBAM to evaluate my/our transactions which are intended to be the subject of the assignment, participation or sub-participation;
- (ix) cross-selling, marketing and promotions of products and/or services of RHB Banking Group and its strategic alliances;
- (x) for RHBAM's corporate events (including networking events, launching of products, etc) /contests, of which photographs / images of I/we may be captured and may be used for RHBAM's publications; or
- (xi) protecting RHB Banking Group's interests and other ancillary or related purposes.

I/We understand and acknowledge that it is necessary for RHBAM to process my/our personal information for the Purpose, without which RHBAM will not be able to provide the product/service that I/we have requested from RHBAM and to notify I/we about important changes or developments to the products/services. Where I/we have provided RHBAM with sensitive personal information (in particular, information consisting my/our physical/mental health for applications of insurance products/services), I/we hereby provide RHBAM with my/our express consent to process the same in the manner described in this Privacy Notice. I/we may exercise my/our options in respect of receiving marketing materials (including cross-selling, marketing and promotions as described above) at any time by contacting our Customer Service at 03 - 9205 8000.

I/We understand that RHBAM may disclose my/our personal information (or sensitive personal information, if applicable) to other companies within the RHB Banking Group, service providers, merchants and strategic partners, vendors including debt collection agencies, professional advisers, industry/financial related associations, credit bureaus or credit reporting agencies and fraud prevention agencies, governmental agencies, other financial institutions and any of their respective agents, servants and/or such persons, whether located within or outside Malaysia for the Purpose, if applicable, subject at all times to any laws (including regulations, standards, guidelines and/or obligations) applicable to RHBAM. I/We further understand that I/we may request for correction (if my/our personal information is inaccurate, outdated, incomplete, etc), access to (a prescribed fee may be charged), or deletion (if I/we no longer have any existing products/services with RHB Banking Group) of my/our personal information or limit the processing thereof at any time hereafter by submitting such request via post, email or fax to the following address:

Customer Service

RHB Asset Management Sdn Bhd

Level 8, Tower 2 & 3, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia

Contact: +603-9205 8000 Facsimile: +603-9205 8100 Email: rhbam@rhbggroup.com

I/We acknowledge that RHB Banking Group may modify or update its Privacy Notice from time to time, a copy of which is available at www.rhbggroup.com and that I/we may channel any complaints or inquiries I/we may have in the manner indicated above.

ACKNOWLEDGEMENT AND CONSENT

- By providing my/our personal information and signature, I/we consent to RHBAM processing my/our personal information for any necessary disclosures and overseas transfers of my/our personal information to relevant third parties, for the Purpose, if applicable.
- I/We agree to the disclosure and/or transfer of my/our personal information to relevant third parties as a result of any restructuring, sale or acquisition of any company within the RHB Banking Group, provided that the recipient uses my/our personal information for the Purpose, if applicable.
- I/We also represent and warrant that I/we have sufficiently obtained the consent of third party individual(s) (e.g. family, spouse, related parties, supplementary cardholder and/or emergency contact persons, etc) whose personal information I/we have disclosed to RHBAM to allow RHBAM to process the same in relation to the Purpose, if applicable.

[This paragraph is only applicable to the authorized signatory(s)/contact person(s) of RHBAM's corporate customer(s), if any]

- As the authorized signatory(s)/contact person(s) of RHBAM's corporate customer, you understand that personal information of the directors, individual shareholders, employees, other authorised signatories, individual guarantors, individual security providers, suppliers/vendors and/or related parties etc, may be collected and processed by RHBAM for the purpose of the commercial transactions between the corporate customer and RHBAM. You represent and warrant that you are entitled to provide the said personal information to RHBAM and/or the appropriate consent have been obtained to allow RHBAM to process the said personal information for the purpose.

[This paragraph is only applicable to parent/legal guardian/next-of-kin/authorized representative of Junior Accountholder(s), if any]

- If you are providing consent as parent / legal guardian / next-of-kin / authorized representative of a junior applicant, you understand that the personal information of the junior applicant will be processed by RHBAM for the Purpose described above.

NOTIS PRIVASI RHB

Saya/Kami faham bahawa RHB Asset Management Sdn Bhd ("RHBAM") akan menggunakan, mengumpul, merekod, menyimpan, berkongsi dan/atau memproses maklumat peribadi saya/kami, termasuk, tanpa had, butir-butir pengenalan saya/kami, maklumat latar belakang, data kewangan, penduduk cukai dan maklumat lain yang berkaitan dengan permohonan anda untuk produk dan / atau perkhidmatan yang

- (a) telah saya/kami berikan di dalam borang ini atau melalui sebarang perhubungan lain dengan Kumpulan Perbankan RHB (termasuk syarikat induk, syarikat-syarikat subsidiari, dan mana-mana syarikat yang berkenaan, termasuk mana-mana syarikat yang terhasil daripada mana-mana penyusunan semula, penggabungan, jualan atau pengambilalihan) atau
- (b) diperolehi daripada analisis pembayaran saya/kami dan urusniaga/perkhidmatan yang lain dalam Kumpulan Perbankan RHB, atau
- (c) diperolehi daripada pihak ketiga seperti majikan, pemohon bersama/pemegang-pemegang akaun, penjamin-penjamin, wakil-wakil perundangan, persatuan-persatuan berkaitan industri/kewangan, pihak-pihak berkuasa kerajaan, biro-biro kredit atau agensi-agensi pelaporan kredit, penjual-penjual, rangkaian-rangkaian sosial dan agensi-agensi pencegahan penipuan atau organisasi-organisasi lain,

untuk mana-mana dan/atau semua tujuan-tujuan yang berikut ("Tujuan"), jika berkaitan:

- (i) menyediakan produk dan/atau perkhidmatan ini dan memaklumkan saya/kami tentang sebarang perubahan penting atau perkembangan tentang ciri-ciri produk dan/atau perkhidmatan tersebut;
- (ii) mengemaskini dan menguruskan ketepatan rekod Kumpulan Perbankan RHB;
- (iii) pencegahan, pengesanan atau pendakwaan jenayah, dan mematuhan obligasi-obligasi perundangan dan peraturan;
- (iv) penilaian dan analisis termasuk pemarkahan kredit / pinjaman / risiko insurans / kelakuan, analisis pasaran dan produk dan penyelidikan pasaran;
- (v) perhubungan dan memastikan kepuasan pelanggan, yang mungkin termasuk menjalankan kajian-kajian meningkatkan kualiti produk-produk dan perkhidmatan-perkhidmatan kami, membalas kepada pertanyaan dan aduan serta untuk menyelesaikan percanggahan secara umumnya;
- (vi) menentukan jumlah hutang saya/kami dan pemungutan hutang yang saya/kami berhutang kepada RHBAM;
- (vii) mengekalkan sejarah kredit anda untuk rujukan semasa dan akan datang;
- (viii) membolehkan pemegang serah hak sebenar atau yang dicadangkan oleh RHBAM, atau peserta atau sub peserta RHBAM untuk menilai urus niaga saya/kami yang bertujuan untuk menjadi subjek penyerahhakan, penyertaan atau sub penyertaan;
- (ix) melindungi kepentingan Kumpulan Perbankan RHB dan tujuan sampingan atau tujuan lain yang berkenaan;
- (x) penjualan silang, pemasaran dan promosi produk dan/atau perkhidmatan Kumpulan Perbankan RHB dan rakan-rakan kongsi strategik; atau
- (xi) bagi acara-acara korporat RHBAM (termasuk acara rangkaian, pelancaran produk, dan lain-lain) / pertandingan, di mana gambar / imej saya/kami mungkin akan ditangkap dan boleh digunakan untuk penerbitan RHBAM.

Saya/Kami faham dan mengakui bahawa RHBAM perlu memproses maklumat peribadi saya/kami untuk Tujuan tersebut, tanpanya RHBAM tidak akan dapat menyediakan produk/perkhidmatan yang saya/kami minta daripada RHBAM dan untuk memaklumkan saya/kami tentang sebarang perubahan penting atau perkembangan tentang produk/perkhidmatan tersebut. Di mana saya/kami telah memberi RHBAM maklumat peribadi sensitif (khususnya, maklumat tentang kesihatan fizikal / mental saya/kami untuk permohonan bagi produk insurans / perkhidmatan), saya/kami dengan ini memberikan RHBAM persetujuan nyata saya/kami untuk memproses mengikut cara yang dinyatakan dalam Notis Privasi ini. Saya/Kami boleh menjalankan pilihan saya/kami berkenaan dengan menerima bahan-bahan pemasaran (termasuk penjualan silang, pemasaran dan promosi seperti yang dinyatakan di atas) pada bila-bila masa dengan menghubungi Khidmat Pelanggan pada nombor 03-9205 8000.

Saya/Kami faham bahawa RHBAM mungkin akan mendedahkan maklumat peribadi saya/kami (atau maklumat peribadi sensitif, jika berkenaan) kepada syarikat-syarikat lain di dalam Kumpulan Perbankan RHB, penyedia-penyedia perkhidmatan, peniaga-peniaga dan rakan-rakan kongsi strategik, pembekal-pembekal termasuk agensi-agensi pemungutan hutang, penasihat-penasihat profesional, persatuan-persatuan berkaitan industri/kewangan, biro-biro kredit atau agensi-agensi pelaporan kredit dan pencegahan penipuan, agensi-agensi kerajaan, institusi-institusi kewangan yang lain dan mana-mana ejen, pekerja, dan/atau mana-mana orang mereka, sama ada bertempat di dalam atau di luar Malaysia untuk Tujuan tersebut, jika berkenaan, tertakluk pada setiap masa kepada mana-mana undang-undang (termasuk peraturan-peraturan, piawaian, garis panduan dan / atau obligasi) yang terpakai kepada RHBAM.

Saya/Kami juga faham bahawa saya/kami boleh meminta untuk membuat pembetulan (jika maklumat peribadi saya/kami adalah tidak tepat, ketinggalan zaman, tidak lengkap, dan lain-lain), akses kepada (fi yang ditetapkan mungkin dikenakan), atau untuk menghapuskan (jika saya/kami tidak lagi mempunyai apa-apa produk/perkhidmatan yang sedia ada dengan Kumpulan Perbankan RHB) ke atas maklumat peribadi saya/kami atau mengehendkan pemprosesan itu pada bila-bila masa selepas ini dengan mengemukakan permintaan tersebut melalui pos, e-mel atau faks kepada alamat berikut:

Khidmat Pelanggan

RHB Asset Management Sdn Bhd

Level 8, Tower 2 & 3, RHB Centre, Jalan Tun Razak, 50400 Kuala Lumpur, Malaysia

Nombor Telefon: 03-9205 8000 Nombor Faks: 03-9205 8100 Email: rhbam@rhbggroup.com

Saya/Kami mengakui bahawa Kumpulan Perbankan RHB boleh mengubahsuai atau mengemas kini Notis Privasi ini dari masa ke semasa, di mana salinannya boleh didapati di www.rhbggroup.com dan anda boleh menyalurkan sebarang aduan atau pertanyaan yang saya/kami mungkin ada dengan cara yang ditunjukkan di atas.

PENGIKTIRAFAN DAN KEBENARAN

- Dengan memberikan maklumat peribadi saya/kami berserta tandatangan, saya/kami mengizinkan RHBAM memproses maklumat peribadi saya/kami untuk mana-mana pendedahan yang diperlukan dan pemindahan maklumat peribadi saya/kami ke luar negara kepada pihak ketiga yang berkaitan, jika berkenaan.
- Saya/Kami bersetuju dengan pendedahan dan/atau pemindahan maklumat peribadi saya/kami kepada pihak ketiga yang berkaitan akibat daripada apa-apa penyusunan semula, penjualan atau pengambilalihan mana-mana syarikat dalam Kumpulan Perbankan RHB, dengan syarat bahawa penerima menggunakan maklumat peribadi saya/kami untuk Tujuan tersebut sahaja.
- Saya/Kami juga menyatakan dan menjamin bahawa saya/kami telah cukup memperoleh persetujuan individu-individu pihak ketiga (contohnya keluarga, pasangan, pihak-pihak berkaitan, pemegang kad tambahan dan/atau orang hubungan kecamasan, lain-lain) di mana maklumat peribadi mereka telah saya/kami dedahkan kepada RHBAM untuk membenarkan RHBAM membuat pemprosesan yang sama berhubung dengan Tujuan, jika berkenaan.

[Perenggan ini hanya terpakai kepada penandatangan yang diberi kuasa/orang yang boleh dihubungi daripada pelanggan-pelanggan korporat RHBAM, jika ada]

- Sebagai penandatangan yang diberi kuasa/orang yang boleh dihubungi daripada pelanggan korporat RHBAM, anda memahami bahawa maklumat peribadi pengarah-pengarah, pemegang-pemegang saham individu, pekerja-pekerja, penandatangan lain yang diberi kuasa, penjamin individu, penyedia keselamatan individu, pembekal-pembekal dan/atau pihak-pihak berkaitan dan lain-lain, boleh dikumpul dan diproses oleh RHBAM untuk tujuan transaksi komersial antara pelanggan korporat dengan RHBAM. Anda menyatakan dan menjamin bahawa anda berhak untuk memberikan maklumat peribadi tersebut kepada RHBAM dan / atau persetujuan yang sesuai telah diperolehi untuk membolehkan RHBAM memproses maklumat peribadi untuk tujuan tersebut.

[Perenggan ini hanya terpakai kepada ibu bapa/penjaga undang-undang/waris/wakil bagi pemegang-pemegang Akaun Junior, jika ada]

- Jika saya/kami memberikan persetujuan sebagai ibu bapa/penjaga undang-undang/waris/wakil bagi pemohon kanak-kanak, saya/kami faham bahawa maklumat peribadinya akan diproses oleh RHBAM untuk Tujuan yang dinyatakan di atas.

>> INVESTMENT OBJECTIVE & EXPERIENCE

Investment Objective

☐ Capital Growth ☒ Regular Income ☐ Capital Protection
☐ Education ☐ Wealth Accumulation ☐ Retirement

Investment Experience

☐ Unit Trust _____ year(s) ☐ Trading on Bursa Malaysia _____ year(s)
☐ Futures / Options _____ year(s) ☐ Others _____ year(s)
☒ No experience

Investment Time Frame

☐ Long Term (>5 years) ☐ Medium (3-5 years) ☒ Short Term (<3 years)

>> FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARD ("CRS")

- "Foreign Account Tax Compliance Act (FATCA)" which was passed as part of the Hiring Incentives to Restore Employment (HIRE) Act, generally requires that foreign financial Institutions and certain other non-financial foreign entities report on the foreign assets held by their U.S. account holders or be subject to withholding on withholdable payments. The HIRE Act also contained legislation requiring U.S. persons to report, depending on the value, their foreign financial accounts and foreign assets.
- RHB Asset Management Sdn Bhd ("RHBAM") and its related companies and affiliates are subject to and required to, or have agreed to comply with FATCA ("FATCA Reporting Requirement"). In view of this, RHBAM is required to collect information about each of its customers under the FATCA Reporting Requirement. If you are a U.S. Person, we may need to give the Inland Revenue Board of Malaysia ("IRBM") your account information, which may then be shared with the U.S. IRS.
- "Common Reporting Standard (CRS)" means the Standard for Automatic Exchange of Financial Account Information ("AEOI") in Tax Matters and was developed in response to the G20 request and approved by the Organisation for Economic Co-operation and Development (OECD) Council on 15 July 2014, calls on jurisdictions to obtain information from their financial institutions and automatically exchange that information with other jurisdictions on an annual basis. It sets out the financial account information to be exchanged, the financial institutions required to report, the different types of accounts and taxpayers covered, as well as common due diligence procedures to be followed by financial institutions.
- RHBAM and its related companies and affiliates are required to comply with the CRS Rules ("CRS Reporting Requirement") In view of this RHBAM is required to collect information about your tax residence(s) under applicable tax regulations. If you are not a tax resident of Malaysia, we may need to give the IRBM your account information, which may then be shared with other tax authorities of the CRS Participating countries.
- Each jurisdiction has its own rules for defining tax residences, and jurisdiction have provided information on how to determine if you are resident in a jurisdiction on the following website: - <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
- RHBAM are not allowed to give tax advice. Please consult your tax adviser if you require assistance in determining your tax residence(s).

>> EXCHANGE CONTROL DECLARATION BY NON-MALAYSIAN RESIDENT(S)

☐ I/We declare that I am/ we are non-Malaysian resident(s) and I am/ we are permanent resident(s) of _____ (country)
☐ We declare that I am/ we are non-Malaysian organisation(s) and our organisation is incorporated in _____ (country)

DECLARATION ON TAX RESIDENT STATUS

For Individual Applicant

I have been briefed and I hereby confirm I understand my tax residency/FATCA/CRS requirements. I hereby declare that I am :

For FATCA Status

☐ Non-US individual with no US indicia
☐ * Non-US individual with US indicia
☐ * US individual

For CRS Status

☐ Tax resident in Malaysia and do not have any foreign tax residency and/or foreign indicia
☐ * A foreign tax resident with foreign indicia (including Malaysia, if applicable)

For Joint Individual Applicant

I have been briefed and I hereby confirm I understand my tax residency/FATCA/CRS requirements. I hereby declare that I am :

For FATCA Status

☐ Non-US individual with no US indicia
☐ * Non-US individual with US indicia
☐ * US individual

For CRS Status

☐ Tax resident in Malaysia and do not have any foreign tax residency and/or foreign indicia
☐ * A foreign tax resident with foreign indicia (including Malaysia, if applicable)

* Please complete the Individual Self-Certification form provided

For Corporate Client, please complete the Entity/Self-Certification/W8-BEN-E Form (if applicable) provided.

It is important for you to provide RHBAM with complete and accurate information in this form. If your circumstances change and any of the information provided in this form becomes incorrect please let RHBAM know immediately and provide an updated Self-Certification form.

DECLARATION FOR PERSONAL DATA PROTECTION ACT 2010

- I/We shall fully comply with the provisions of the Personal Data Protection Act 2010 ("the Act") applicable to the processing of personal data as defined in the Act and specifically, that all necessary consents have been obtained from individuals whose personal data may be disclosed to RHBAM or its representatives ("Disclosed Data") in respect of such disclosure to and processing by RHBAM and its representatives and that I/We will always furnish RHBAM or its representatives with up-to-date Disclosed Data.
- I/We shall procure any third party that processes Disclosed Data for or on behalf of me/us to agree in writing to the same terms that I/We agree in line with the Act and in this declaration.
- I/We shall immediately notify RHBAM in the event of any claim or complaint from any data subject of Disclosed Data and/or where there has been an event of non-compliance with the Act by me/us, whether discovered by me/us or forming the subject of an investigation and/or action by the relevant authorities.
- I/We shall indemnify RHBAM Banking Group against all proceedings, costs, expenses, liabilities or damages arising from my/our failure to comply with the Act with respect to Disclosed Data and the terms of this declaration. The remedies available to RHBAM contained in this clause are without prejudice to and in addition to any warranties, indemnities, remedy or other rights provided by law or any prior agreement.
- Based on the above declaration, I/we undertake that this declaration shall not be assigned without RHBAM's prior written consent; will be binding upon my/our servants, Unit Trust Scheme Consultant ("UTSC"), personal representatives, assigns and successor-at-law; will inure to the benefit of RHBAM and its successors and assigns; and shall supersede all prior representations, negotiations, arrangements, understandings or agreements and all other communications between RHBAM and me/us in connection with the processing and disclosure of Disclosed Data to RHBAM.

No delay or omission by RHBAM in exercising any right under this declaration will operate as a waiver of that or any other right. The covenants set out in this declaration are separate and severable and enforceable accordingly and whilst the restrictions are considered by the parties to be reasonable in all the circumstances as at the date hereof, it is acknowledged that restrictions of such a nature may be invalid because of a change in circumstances or other unforeseen reasons and accordingly, if any restrictions shall be adjudged to be void or ineffective for whatever reason but would be adjudged to be valid and effective if part of the wording thereof were deleted or the periods thereof reduced, such modifications shall be applied as may be necessary to make them valid and effective.

DECLARATIONS

- I/We acknowledge that I/We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the Fund(s).
- I/We undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was/we were a party thereto.
- I am/We are 18 years old and above as at the date of this application. Copy/Copies of my/our NRIC/Passport is/are enclosed.
- I/We declare and represent that as at the date hereof, I am/we are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us. (applicable to Individual)
- I am/We are duly authorised officer(s) of the Corporate, and warrant that the Corporate has the power and capacity to execute this account opening application and undertake transactions involving the Fund(s). Attached is a certified true copy of the Corporate's list of authorised signatories (applicable to corporate).
- I/We declare that I am/ we are neither engaged in any unlawful activity nor are my/our monies obtained from any illegal source or related to any illegal activity.
- I/We, as directors(s) of the Corporate do hereby declare that the Corporate is a legally incorporated Corporate. Copy of my/our Certificate of Incorporation is enclosed (applicable to corporate).
- I/We declare that I am/ we are in compliance and undertake that I/we will continue to comply with all applicable laws and regulations.
- I/We undertake to provide RHBAM with all information as it may require for the purpose of and in connection with completing the Account Application Form, including but not limited to, my/our/the Corporate and its group of companies' information on financial position, condition, operation, business or prospect, where applicable.
- I/We acknowledge that I/we shall keep RHBAM informed of any changes of the information stated in the Account Application Form and this Updating of Client's Particular Form.
- I/We undertake to provide such information and documents that RHBAM may require for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 ("AMLA/TFPUAA 2001").
- In the absence of written explicit instructions, I/We acknowledge that instructions must be given by both of us (for joint application only).
- (Distribution Instruction as per Purchase/Switch Form) Depending on the Fund invested and subject to each respective Fund's Master Prospectus(es) / Prospectus(es) / Information Memorandum(s) / Disclosure Document(s) and its Supplementary(ies) (if any), income distribution will either be reinvested into further units in the relevant fund or be paid out.
- I/We hereby agree to indemnify RHBAM against all actions, suits, proceedings, claims, damages and losses which may be suffered by RHBAM as a result of any inaccuracy of the declarations herein.

DECLARATIONS (continue)

- We, the undersigned and representatives for the Corporate, do declare and represent that as at the date hereof, the Corporate is not wound up, no petition for winding-up has been filed against the Corporate nor any receiver has been appointed over any of its assets (applicable to corporate).
- I/We declare that I/we have read and clearly understood the RHB Privacy Notice / Privasi Notis RHB in relation to the protection of personal data and hereby inscribe my / our signature as an attestation of my / our agreement and acknowledgement of the RHB Privacy Notice / Privasi Notis RHB.
- I/We acknowledge that if updates provided reveal any United States ("US") indicia/indicators (for example US address, US telephone number) or change to FATCA (Foreign Account Tax Compliance Act) and CRS (Common Reporting Standard) status, I/We hereby agree to provide RHBAM with the relevant documentary evidence within 30 days of this change request.
- I/We declare that all particulars and information given in this Updating of Client's Particulars Form are true and correct and that I/we have not withheld any material facts or information from RHBAM. RHBAM is entitled to fully rely on such information for all purposes, unless RHBAM receives notice in writing from me/us informing otherwise. I/We hereby undertake to furnish RHBAM with such additional particulars as RHBAM may require at any time and also undertake to inform RHBAM of any changes with regard to the particulars stated herein from time to time.
- I/We consent to and authorise RHBAM to perform any of the following, if applicable:
 - Withhold any applicable payments in the account(s);
 - Report/disclose/exchange any information/ documents relating to my/our accounts/affairs to Inland Revenue Board Malaysia or any foreign tax authorities/inland revenue authorities in compliance with any tax requirements;
 - Terminate (with prior notice of 7 Business Days) my/our contractual relationship(s) with RHBAM.

SIGNATURES

X OOI CHOK YAN X YEOH SOON HIN Individual Applicant (Main) / Authorised Signatory(ies) Name: OOI CHOK YAN YB YEOH SOON HIN 760118-07-5799 760920-02-5705 NRIC / Passport No.: Date:		X DATO' ROSLI BIN JAAFAR Individual Applicant (Joint) / Authorised Signatory(ies) Name: DATO' ROSLI BIN JAAFAR NRIC / Passport No.: 590221-01-5103 Date:	Signature Witness By (RHBAM Officer / Branch Officer) Name: Staff ID: Date:
		>> Company Stamp / Common Seal	

FOR OFFICE USE ONLY (HQ)

Client's Signature Verified By Name: Designation: Date:	Client's Signature Approved By Name: Designation: Date:	Call Back Performed By (if applicable) Name: Designation: Date Call: Time Call:
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